



west virginia department of environmental protection

Division of Water and Waste Management
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Earl Ray Tomblin, Governor
Randy C. Huffman, Cabinet Secretary
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**CONSENT ORDER
ISSUED UNDER THE
WATER POLLUTION CONTROL ACT
WEST VIRGINIA CODE, CHAPTER 22, ARTICLE 11**

TO: Penhall Company
Gary Bush
1801 Penhall Way
Anaheim, CA 92801

DATE: February 7, 2014

ORDER NO.: 7992

INTRODUCTION

This Consent Order is issued by the Director of the Division of Water and Waste Management (hereinafter "Director"), under the authority of West Virginia Code, Chapter 22, Article 11, Section 1 et seq. to Penhall Company (hereinafter "Penhall").

FINDINGS OF FACT

In support of this Order, the Director hereby finds the following:

1. Penhall, a bridge and pavement restoration service company, is the operator of a concrete overlay project on interstates I-64 and I-77 in Charleston, Kanawha County, West Virginia. The project includes the Carter and Bigley Avenue Bridges, as detailed in State Project No. S320-64-57.51 and Federal Project No. ACBI-0642(140).
2. On January 25, 2011, Penhall submitted a "Temporary Discharge Wastewater Plan - Hydro Blasting Demolition Collection, Containment and Disposal Plan" to West Virginia Department of Environmental Protection (WVDEP). The plan detailed methods for collection, containment, treatment, and discharge of wastewater generated during the hydro demolishing process of the aforementioned project.
3. On August 8, 2012, WVDEP personnel conducted an inspection of the industrial wastewater collection, treatment, and discharge at the aforementioned project. During the inspection, a violation of the following section of WV State Code was observed and documented:

Promoting a healthy environment.

- a. 22-11-8.b.1 - Penhall allowed industrial wastes or the effluent therefrom, produced by or emanating from a point source, to flow into waters of the State. The industrial wastewater and associated concrete sludge from this project were collected by Vac-Truck and taken to the Raines site, which is several miles east and not part of this construction project. The wastewater and sludge were then dumped in pits with overflows to stormwater outlets and upon the ground adjacent to and/or directly in drainage ditches that discharge to Davis Creek.
4. On August 9, 2012, WVDEP personnel conducted an inspection of the aforementioned project. During the inspection, a violation of the following section of WV State Code was observed and documented:
 - a. 22-11-8.b.1 - Penhall allowed industrial wastes or the effluent therefrom, produced by or emanating from a point source, to flow into waters of the State. Penhall had, on an additional area of the Raines site, discharged industrial wastewater and associated concrete sludge from Vac-Truck upon the ground adjacent to and/or directly in an unnamed tributary of Davis Creek.
 5. On August 24, 2012, WVDEP personnel conducted an inspection of the aforementioned project. During the inspection, a violation of the following section of WV State Code was observed and documented:
 - a. 22-11-8.b.1 - Penhall allowed industrial wastes or the effluent therefrom, produced by or emanating from a point source, to flow into waters of the State. Penhall had ceased disposal at the Raines site. However, Penhall had commenced the discharging of industrial wastewater and associated concrete sludge from Vac-Truck onto the Lane site, which is several miles north and not part of this project.
 6. On September 4, 2013, Penhall personnel became aware of latex modifier escaping from a concrete truck chute mixer onto the ground and into a street gutter in the staging area under the Carter (Fort Hill) Bridge. Penhall concluded the spill was a result of vandalism by an unknown person or persons.
 7. On September 5, 2013, in response to a media inquiry, WVDEP personnel conducted an inspection of the aforementioned project. During the inspection, violations of the following sections of WV Legislative Rules were observed and documented:
 - a. 47CSR2 Section 3.2.f - Penhall created conditions not allowable by causing a distinctly visible color in the waters of the State.
 - b. 47CSR11 Section 2.2.a - Penhall took measures to contain and clean up the spill, but failed to give immediate notification to the Office of Water Resources' Emergency Notification Number.

As a result of the aforementioned violations, Notice of Violation (NOV) Nos. I20-905(1)-RPH and I20-905(2)-RPH were issued to Penhall.

8. On January 22, 2014, WVDEP personnel and representatives of Penhall met to discuss the terms and conditions of this Order.

ORDER FOR COMPLIANCE

Now, therefore, in accordance with Chapter 22, Article 11, Section 1 et seq. of the West Virginia Code, it is hereby agreed between the parties, and ORDERED by the Director:

1. Penhall shall immediately take all measures to initiate compliance with all pertinent laws and rules.
2. Because of Penhall's Legislative Rule violations, Penhall shall be assessed a civil administrative penalty of seven thousand eight hundred thirty dollars (\$7,830) to be paid to the West Virginia Department of Environmental Protection for deposit in the Water Quality Management Fund within thirty (30) days of the effective date of this Order. Payments made pursuant to this paragraph are not tax-deductible for purposes of State or federal law. **Payment shall be mailed to:**

**Chief Inspector
Environmental Enforcement - Mail Code #031328
WV-DEP
601 57th Street SE
Charleston, WV 25304**

OTHER PROVISIONS

1. Legislative Rule hereby waives its right to appeal this Order under the provisions of Chapter 22, Article 11, Section 21 of the Code of West Virginia. Under this Order, Penhall agrees to take all actions required by the terms and conditions of this Order and consents to and will not contest the Director's jurisdiction regarding this Order. However, Penhall does not admit to any factual and legal determinations made by the Director and reserves all rights and defenses available regarding liability or responsibility in any proceedings regarding Penhall other than proceedings, administrative or civil, to enforce this Order.
2. The Director reserves the right to take further action if compliance with the terms and conditions of this Order does not adequately address the violations noted herein and reserves all rights and defenses which he may have pursuant to any legal authority, as well as the right to raise, as a basis for supporting such legal authority or defenses, facts other than those contained in the Findings of Fact.
3. If any event occurs which causes delay in the achievement of the requirements of this Order, Penhall shall have the burden of proving that the delay was caused by circumstances beyond its reasonable control which could not have been overcome by due diligence (i.e., force majeure). Force majeure shall not include delays caused or contributed to by the lack of sufficient funding. Within three (3) working days after Penhall becomes aware of such a delay, notification shall be provided to the Director/Chief Inspector and shall, within ten (10) working days of initial notification, submit a detailed written explanation of the anticipated length and cause of the delay, the measures taken and/or to be taken to prevent or minimize the delay, and a timetable by

which Penhall intends to implement these measures. If the Director agrees that the delay has been or will be caused by circumstances beyond the reasonable control of Penhall (i.e., force majeure), the time for performance hereunder shall be extended for a period of time equal to the delay resulting from such circumstances. A force majeure amendment granted by the Director shall be considered a binding extension of this Order and of the requirements herein. The determination of the Director shall be final and not subject to appeal.

4. Compliance with the terms and conditions of this Order shall not in any way be construed as relieving Penhall of the obligation to comply with any applicable law, permit, other order, or any other requirement otherwise applicable. Violations of the terms and conditions of this Order may subject Penhall to additional penalties and injunctive relief in accordance with the applicable law.
5. The provisions of this Order are severable and should a court or board of competent jurisdiction declare any provisions to be invalid or unenforceable, all other provisions shall remain in full force and effect.
6. This Order is binding on Penhall, its successors and assigns.
7. This Order shall terminate upon Penhall's notification of full compliance with the "Order for Compliance" and verification of this notification by WVDEP.



Gary Bush
Penhall Company

2/24/14

Date

Public Notice begin:

Date

Public Notice end:

Date

Scott G. Mandirola, Director
Division of Water and Waste Management

Date

Base Penalty Calculation

(pursuant to 47CSR1-6.1)

Responsible Party:

Penhall Company

Receiving Stream:

Kanawha

Treatment System Design Maximum Flow: N/A MGD

Treatment System Actual Average Flow: N/A MGD (if known)

Enter FOF# and rate each finding as to Potential and Extent.

1)	Potential for Harm Factor	Factor Range	FOF#											
			7a	7b										
a)	Amount of Pollutant Released	1 to 3	2	1										
b)	Toxicity of Pollutant	0 to 3	1	0										
c)	Sensitivity of the Environment	0 to 3	1	0										
d)	Length of Time	1 to 3	1	2										
e)	Actual Exposure and Effects thereon	0 to 3	1	0										
Average Potential for Harm Factor			1.2	0.6	No									
2)	Extent of Deviation Factor	Factor Range												
	Degree of Non-Compliance	1 to 3	3	3										

Potential for Harm Factors:

- 1)c - Sensitivity of the Environment Potentially Affected (0 for "dead" stream)
- 1)d - Length of Time of Violation
- 1)e - Actual Human/Environmental Exposure and Resulting Effects thereon

Examples/Guidance:

Note: Rate as 1 for Minor, 2 for Moderate and 3 for Major. Rate as 0 if it does not apply.

Minor = exceedance of permit limit by <=40% for Avg. Monthly or <=100% for Daily Max., exceed numeric WQ standard by <= 100%, or report doesn't contain some minor information.

Moderate = exceedance of permit limit by >= 41% and <= 300% for Avg. Monthly , >= 101% and <= 600% for Daily Max., exceed numeric WQ standard by >= 101% and <= of 600% or report doesn't fully address intended subject matter.

Major = exceedance of permit limit by >= 301% for Avg. Monthly, >= 601% for Daily Max., exceed numeric WQ standard by >= 601%, failure to submit a report, failure to obtain a permit, failure to report a spill, etc. Note that a facility in SNC should be rated as major for length of time and degree of non-compliance.

Narrative WQ standard violations - case-by-case.

		Extent of Deviation from Requirement		
		Major	Moderate	Minor
Potential for Harm to Human Health or the Environment	Major	\$8,000 to \$10,000	\$6,000 to \$8,000	\$5,000 to \$6,000
	Moderate	\$4,000 to \$5,000	\$3,000 to \$4,000	\$2,000 to \$3,000
	Minor	\$1,500 to \$2,000	\$1,000 to \$1,500	Up to \$1,000

FOF #	Potential for Harm	Extent of Deviation	Penalty	Multiple Factor	Base Penalty
7a	Moderate	Major	\$4,200	1	\$4,200
7b	Minor	Major	\$1,800	1	\$1,800
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
0	FALSE	FALSE	FALSE	1	\$0
Total Base Penalty					\$6,000

Penalty Adjustment Factors

(pursuant to 47CSR1-6.2)

Penalty Adjustment Factor

6.2.b.1 - Degree of or absence of willfulness and/or negligence - 0% to 30% increase

6.2.b.4 - Previous compliance/noncompliance history - 0% to 100% increase - based upon review of last three (3) years - Warning = maximum of 5% each, N.O.V. = maximum of 10% each, previous Order = maximum of 25% each - Consistent DMR violations for <1 year = 10% maximum, for >1 year but <2 years = 20% maximum, for >2 years but <3 years = 30% maximum, for >3 years = 40 % maximum

6.2.b.6 - Economic benefits derived by the responsible party (increase to be determined)

6.2.b.7 - Public Interest (increase to be determined)

6.2.b.8 - Loss of enjoyment of the environment (increase to be determined)

6.2.b.9 - Staff investigative costs (increase to be determined)

6.2.b.10 - Other factors

Size of Violator: 0 - 50% decrease

NOTE: This factor is not available to discharges that are causing a water quality violation. This factor does not apply to a commercial or industrial facility that employees or is part of a corporation that employees more than 100 individuals.

Avg. Daily WW Discharge Flow (gpd)	% Reduction Factor
< 5,000	50
5,000 to 9,999	40
10,000 to 19,999	30
20,000 to 29,999	20
30,000 to 39,999	10
40,000 to 99,999	5
> 100,000	0

Additional Other factors to be determined for increases or decreases on a case-by-case basis.

Public Notice Costs (cost for newspaper advertisement)

6.2.b.2 - Good Faith - 10% decrease to 10% increase

6.2.b.3 - Cooperation with the Secretary - 0% to 10% decrease

6.2.b.5 - Ability to pay a civil penalty - 0% to 100% decrease

Base Penalty Adjustments

(pursuant to 47CSR1-6.2)

Penalty Adjustment Factor	% Increase	% Decrease	Base Penalty Adjustments
6.2.b.1 - Willfulness and/or negligence -	20		\$1,200
6.2.b.4 - Compliance/noncompliance history	20		\$1,200
6.2.b.6 - Economic benefits - (flat monetary increase)			\$0
6.2.b.7 - Public Interest - (flat monetary increase)			\$0
6.2.b.8 - Loss of enjoyment - (flat monetary increase)			\$0
6.2.b.9 - Investigative costs - (flat monetary increase)			\$0
6.2.b.10 - Other factors (size of violator)			\$0
6.2.b.10 - Additional Other Factors - Increase (flat monetary increase)			\$0
6.2.b.10 - Additional Other Factors - Decrease (flat monetary decrease)			\$0
Public Notice Costs (flat monetary increase)	\$30		\$30
6.2.b.2 - Good Faith - Increase			\$0
6.2.b.2 - Good Faith - Decrease			\$0
6.2.b.3 - Cooperation with the Secretary		10	(\$600)
6.2.b.5 - Ability to Pay			\$0
Penalty Adjustments			\$1,830
Penalty =			\$7,830

Estimated Economic Benefit Item	Estimated Benefit (\$)
Monitoring & Reporting	
Installation & Maintenance of Pollution Control Equipment	
O&M expenses and cost of equipment/materials needed for compliance	
Permit Application or Modification	
Competitive Advantage	
Estimated Economic Benefit	\$0
Comments:	